

no. 10

# statistical bulletin

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united states securities and exchange commission  
washington, d. c. 20549

# ROUND-LOT STOCK TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE (SHARES AND WARRANTS)<sup>1/</sup>

Week Ended **March 23, 1973**

Trade Date 6	Total Reported Volume 9	Transactions of Members for Own Account, Except for Odd-Lot Dealer <sup>2/</sup>		
		19 As Specialists	22 As Floor Traders	36 Others
Mon. <sup>10</sup> Purchases	12,548,320	1,800,740	62,800	1,397,721
<sup>11</sup> Sales—Total	12,548,320	1,632,160	35,200	993,580
<sup>12</sup> —Short	680,880	334,580	7,100	120,200
Tues. <sup>13</sup> Purchases	13,388,090	1,789,220	72,200	1,193,805
<sup>14</sup> Sales—Total	13,388,090	1,759,890	60,500	1,336,886
<sup>15</sup> —Short	740,760	310,320	18,700	131,590
Wed. <sup>16</sup> Purchases	16,282,430	2,108,190	85,800	1,345,350
<sup>17</sup> Sales—Total	16,282,430	2,240,600	110,300	1,540,708
<sup>18</sup> —Short	852,740	424,780	5,000	182,900
Thurs. <sup>19</sup> Purchases	17,462,600	2,357,240	89,100	1,455,910
<sup>20</sup> Sales—Total	17,462,600	2,543,620	96,200	1,514,735
<sup>21</sup> —Short	1,077,480	485,030	8,500	206,700
Fri. <sup>22</sup> Purchases	18,620,230	2,601,140	115,700	1,491,130
<sup>23</sup> Sales—Total	18,620,230	2,667,970	101,700	1,481,791
<sup>24</sup> —Short	1,197,370	608,370	14,600	257,600
WEEK <sup>25</sup> Purchases	78,301,670	10,656,530	425,600	6,883,916
<sup>26</sup> Sales—Total	78,301,670	10,844,240	403,900	6,867,700
<sup>27</sup> —Short	4,549,230	2,163,080	53,900	898,990

<sup>1/</sup> Warrants have been included with share volume since January 3, 1972.

<sup>2/</sup> The term "members" includes regular Exchange members, Exchange allied members and Exchange member organizations. Transactions for the odd-lot account of the odd-lot dealer are reported separately. All sales totals include short sales.

**STOCK TRANSACTIONS FOR ODD-LOT ACCOUNTS  
OF ODD-LOT DEALER ON THE NEW YORK STOCK EXCHANGE**

Week Ended March 30, 1973

Trade Date 6	Customers' Odd-Lot Transactions <sup>1/</sup>		Dealer Round-Lot Transactions		51
	9 Shares	17 Value	27 Shares	35 Value	
Mon. <sup>81</sup> Purchases	310,797	13,405,828	145,440	4,904,233	NYSEOL2
<sup>82</sup> Sales-Total	375,194	17,661,726	161,520	7,127,073	
<sup>83</sup> -Short	6,248	394,459	0	0	
Tues. <sup>84</sup> Purchases	352,220	16,120,747	153,320	6,064,386	
<sup>85</sup> Sales-Total	353,719	15,741,679	171,220	6,910,083	
<sup>86</sup> -Short	3,620	257,012	0	0	
Wed. <sup>87</sup> Purchases	311,161	13,402,246	143,320	5,359,845	
<sup>88</sup> Sales-Total	345,883	15,997,222	169,000	7,215,646	
<sup>89</sup> -Short	5,700	455,893	0	0	
Thurs. <sup>90</sup> Purchases	288,239	13,183,415	129,020	5,089,703	
<sup>91</sup> Sales-Total	344,302	15,824,736	176,590	8,007,694	
<sup>92</sup> -Short	4,922	272,189	0	0	
Fri. <sup>93</sup> Purchases	281,131	12,158,695	91,920	3,649,604	
<sup>94</sup> Sales-Total	319,850	14,973,596	190,560	8,364,155	
<sup>95</sup> -Short	4,964	341,267	0	0	
WEEK <sup>96</sup> Purchases	1,543,548	68,270,931	663,020	25,067,771	
<sup>97</sup> Sales-Total	1,738,948	80,198,959	868,890	37,624,651	
<sup>98</sup> -Short	25,454	1,720,820	0	0	

<sup>1/</sup> In odd-lot transactions, customers' purchases are dealers' sales and sales are dealers' purchases.

**NOTE:** All sales totals include short sales

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE  
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED MARCH 9, 1973		WEEK ENDED MARCH 16, 1973		WEEK ENDED MARCH 23, 1973		WEEK ENDED MARCH 30, 1973	
	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****
ADMIRAL CORPORATION	1,389	370	813	360	928	969	1,161	370
ALLIED CHEMICAL CORPORATION	1,172	3,728	1,306	5,414	1,389	6,227	1,957	4,918
ALLIS CHALMERS CORP.	1,712	684	1,734	903	1,584	1,130	1,588	788
ALUMINUM CO. OF AMERICA	833	1,055	530	949	845	1,561	1,194	576
AMERICAN AIRLINES, INC.	8,555	2,228	7,630	1,911	3,754	2,476	5,761	4,632
AMERICAN BRANIFF, INC.	1,083	3,375	1,431	2,869	1,691	3,498	1,175	4,635
AMERICAN CAN COMPANY	2,996	5,421	2,165	4,986	4,055	4,271	3,244	4,672
AMERICAN CYANAMID CO.	3,657	4,193	3,289	4,028	2,926	4,223	3,102	3,996
AMERICAN MOTORS CORP.	2,102	2,990	2,095	3,620	4,473	6,541	3,828	4,151
AMERICAN TEL. & TEL. CO.	18,986	52,960	11,841	53,280	16,417	61,244	14,058	53,232
AMF INCORPORATED	3,468	1,341	2,405	1,259	2,085	1,518	1,814	1,519
AMPEX CORPORATION	1,518	1,617	1,952	1,768	1,171	3,172	938	1,526
ANACONDA COMPANY	2,715	5,650	1,852	5,774	1,450	1,048	2,066	1,400
APECO CORPORATION	402	945	682	826	1,176	1,735	1,402	1,588
AVCO CORPORATION	1,116	1,435	969	1,678	1,169	2,049	764	962
AVNET, INC.	912	2,327	694	1,138	2,278	5,044	3,200	6,240
BETHLEHEM STEEL CORPORATION	3,237	4,771	2,791	3,567	2,828	3,751	2,707	2,190
BOEING COMPANY	2,042	3,090	1,674	4,509	2,278	3,751	2,707	2,190
BRUNSWICK CORPORATION	1,450	1,306	955	1,075	1,331	1,258	1,301	693
BURROUGHS CORPORATION	3,058	6,921	1,683	5,353	2,519	6,486	2,625	4,907
CHRYSLER CORPORATION	6,413	6,668	5,863	5,173	9,360	8,325	10,734	5,489
COLUMBIA GAS SYSTEM, INC.	1,165	3,000	1,105	3,107	1,721	2,957	2,238	2,680
COMMONWEALTH OIL REFINING CO.	1,060	1,557	806	1,296	430	1,154	607	1,041
COMMUNICATIONS SATELLITE CORP.	649	2,360	733	2,317	715	5,603	790	2,313
CONSOLIDATED EDISON CO. OF N.	4,005	6,867	7,970	7,005	4,114	7,094	4,932	7,042
CONTINENTAL AIRLINES, INC.	2,736	1,117	1,649	796	2,516	1,321	3,291	1,107
CONTROL DATA CORPORATION	3,126	2,661	2,973	1,901	4,927	4,346	4,813	2,174
DART INDUSTRIES, INC.	1,153	2,225	906	2,637	681	2,295	525	2,087
DOW CHEMICAL COMPANY	3,565	4,120	2,742	3,953	3,461	3,355	3,321	3,488
DU PONT DE NEMOURS (E.I.) & C	3,186	5,427	3,215	5,454	3,109	7,091	4,094	4,845
EASTERN AIR LINES, INC.	10,592	2,308	9,854	2,537	6,359	2,364	6,293	3,325
EASTMAN KODAK COMPANY	10,823	14,731	10,386	13,248	18,035	18,154	20,535	12,447
EAXON CORPORATION	5,390	29,708	6,849	26,796	5,430	30,220	8,572	41,493
FAIRCHILD CAMERA & INSTRUMENT	3,611	2,486	1,798	1,779	5,566	4,595	4,270	3,419
FAIRCHILD INDUSTRIES, INC.	241	874	370	455	475	596	369	475
FORD MOTOR COMPANY	7,226	6,854	4,610	6,492	7,872	11,514	8,036	6,111
GAF CORPORATION	2,706	2,460	2,004	2,342	2,623	2,213	1,766	2,630
GENERAL DYNAMICS CORP.	355	1,721	204	1,814	97	2,544	245	1,828
GENERAL ELECTRIC COMPANY	8,804	12,882	5,982	10,983	7,261	14,080	8,268	12,192
GENERAL FOODS CORPORATION	2,521	3,283	2,782	2,725	3,777	3,556	2,912	3,135
GENERAL MOTORS CORPORATION	24,257	26,571	22,403	29,484	24,126	36,007	26,404	30,598
GEN'L TEL. & ELECTRONICS CORP	2,745	13,991	2,983	9,025	5,290	11,817	4,268	10,718
GOODYEAR TIRE & RUBBER CO.	4,938	4,421	5,935	3,439	3,526	3,871	2,891	3,614
GREAT WESTERN FINANCIAL CORP	126	269	118	430	292	893	370	294
GULF OIL CORPORATION	15,630	8,655	14,745	11,147	15,974	14,930	21,191	17,661
GULF & WESTERN INDUSTRIES	1,551	2,379	1,132	1,390	1,195	2,135	1,710	1,606
HIGH VOLTAGE ENGINEERING CO.	58	377	230	160	479	432	334	260
HONEYWELL, INC.	4,829	2,387	5,341	2,456	3,275	3,412	3,337	2,407
INT'L BUSINESS MACHINES CORP.	18,896	24,228	15,927	20,851	21,258	30,027	18,785	19,867
INTERNATIONAL HARVESTER CO.	4,526	3,153	3,626	3,011	4,378	3,306	4,208	3,330

ODD-Lot CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE  
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED		WEEK ENDED		WEEK ENDED		WEEK ENDED	
	MARCH 9, 1973		MARCH 16, 1973		MARCH 23, 1973		MARCH 30, 1973	
	PURCHASES	SALES	PURCHASES	SALES	PURCHASES	SALES	PURCHASES	SALES
INT'L NICKEL CO. OF CANADA	*****	*****	*****	*****	*****	*****	*****	*****
INTERNATIONAL PAPER COMPANY	504	3,097	444	2,342	728	2,734	265	3,303
ITEK CORPORATION	313	2,254	813	2,230	720	2,903	935	2,693
JOHNS-MANVILLE CORP.	1,111	1,156	1,353	1,479	2,559	1,390	2,014	1,505
LTV CORPORATION	1,534	1,936	1,787	1,271	2,176	1,163	1,714	891
LEAR SIEGLER, INC.	1,434	954	282	1,225	218	1,142	503	1,861
LITTON INDUSTRIES, INC.	3,278	1,238	2,211	563	1,457	473	868	1,707
MAGNAVIX COMPANY	5,095	7,846	2,519	5783	2,911	7,432	3,365	5,891
MARCOR, INC	15,772	2,433	11,569	1,843	8,543	3,393	9,644	2,665
MARTIN-MARIETTA CORP.	3,315	1,561	1,729	1,593	2,614	1,124	2,717	1,109
MCDONNELL DOUGLAS CORP.	925	1,441	1,218	1,615	2,733	2,734	1,552	1,731
MINNESOTA MINING & MFG. CO.	637	4,320	642	6,582	246	5,182	424	4,280
MONTROSA, INC.	4,356	5,885	4,108	5,872	3,663	6,660	4,401	5,651
MOTOROLA, INC.	3,150	4,536	3,214	5,155	2,683	4,367	3,139	6,527
OCCIDENTAL PETROLEUM CO.	1,638	907	1,101	900	1,753	1,312	2,129	1,868
OWENS-ILLINOIS, INC.	5,801	9,449	2,688	5,961	2,891	7,736	3,358	8,002
PAN AMERICAN WORLD AIRWAYS	1,446	1,716	2,454	1,502	1,770	1,998	1,538	1,206
PASCO INCORPORATED	6,851	2,245	7,188	2,781	8,005	3,593	9,711	4,121
PENN CENTRAL COMPANY	190	338	70	311	25	283	106	327
PHILLIPS PETROLEUM CO.	1,463	1,809	492	1,890	834	2,210	1,015	2,324
PHILAROID CORPORATION	2,148	10,414	1,237	7,608	1,317	8,075	2,314	11,643
PROCTER & GAMBLE CO.	7,873	19,032	6,415	13,310	8,944	10,125	6,956	6,362
R. J. REYNOLDS INDUSTRIES, IN	1,974	4,645	1,290	3,540	2,155	3,568	3,540	5,564
RCA CORPORATION	4,253	3,315	2,498	2,060	5,243	3,371	6,078	2,304
RAYTHEON COMPANY	11,156	9,696	9,041	7,842	11,979	8,445	13,265	8,689
REICHOOLD CHEMICALS, INC.	836	938	497	1,263	1,011	808	464	849
REICHOLD CHEMICALS, INC.	1,047	577	568	616	514	528	210	590
ROCKWELL INTL. CORP.	1,769	4,287	1,534	3,452	3,110	3,904	3,729	3,924
SANTA FE INDUSTRIES	4,155	2,038	1,986	1,705	2,382	2,736	2,402	1,729
SCM CORPORATION	1,040	2,508	1,498	2,152	1,010	2,132	1,514	1,892
SEARS, ROEBUCK & CO.	6,163	9,560	5,534	7,540	13,185	13,855	12,443	7,964
SINGER COMPANY	3,354	2,744	4,330	2,349	3,940	1,650	3,921	1,784
SPERRY RAND CORPORATION	1,896	2,934	2,602	4,063	1,880	4,264	1,858	3,339
STANDARD OIL CO. OF CALIF.	2,916	16,512	2,078	12,895	1,479	13,948	2,987	13,585
SWIFT & COMPANY	836	837	978	879	833	1,143	1,129	1,233
TELEDYNE, INC.	1,776	5,544	665	4,282	2,100	5,068	1,245	3,859
TENNECO, INC.	3,993	6,659	3,325	5,613	3,242	6,115	4,865	4,735
TEXACO INC.	6,923	15,024	6,335	11,647	5,391	13,029	6,463	12,426
TEXAS GULF INCORPORATED	1,040	5,320	1,206	6,857	2,489	5,859	1,050	3,438
TEXAS INSTRUMENTS, INC.	1,430	1,926	1,230	1,506	2,443	1,998	2,081	1,771
THOMSON CORP.	1,020	700	632	454	554	518	524	402
TRANS WORLD AIRLINES, INC.	2,447	1,793	1,102	2,173	1,382	1,259	1,065	2,392
UNITED CARBIDE CORP.	4,437	5,113	2,258	4,440	3,974	6,103	4,553	4,917
UNITED AIRCRAFT CORP.	584	1,809	506	1,722	693	1,453	369	1,854
UNITED NUCLEAR CORP.	547	741	370	803	322	992	633	562
U.S. STEEL CORPORATION	1,594	8,857	2,361	7,105	1,631	9,407	1,876	10,686
WARNER LAMBERT CO.	3,501	8,632	2,747	7,537	3,362	7,327	3,645	3,953
WESTERN UNION CORPORATION	4,123	1,287	2,905	1,293	3,334	1,939	3,201	1,557
WESTINGHOUSE ELECTRIC CORP.	6,401	4,987	4,553	6,353	3,527	7,242	4,629	5,758
FW WOOLWORTH COMPANY	3,259	3,393	3,757	2,860	4,673	2,985	6,329	3,672
XEROX CORPORATION	7,889	13,578	7,820	10,978	9,155	21,511	12,611	9,558
TOTALS ***	382,042	536,689	322,381	489,005	372,119	589,041	402,010	514,024

**ROUND-LOT AND ODD-LOT SHARE VOLUME  
ON THE AMERICAN STOCK EXCHANGE  
(SHARES)**

Week Ended March 23, 1973

Trade Date 6	Total Round-Lot Transactions 9	Round-Lot Transactions of Members For Own Account <sup>1</sup>			Odd-Lot Transactions Of Customers <sup>2</sup> 41
		18 As Specialists <sup>2</sup>	26 As Floor Traders	33 Others	
Mon. 81 Purchases	2,606,815	383,655	36,400	196,400	35,804
82 Sales-Total	2,606,815	379,505	31,000	101,950	51,478
83 -Short	75,015	29,210	5,700	10,400	0
Tues. 84 Purchases	2,684,530	346,075	29,600	199,590	37,630
85 Sales-Total	2,684,530	357,455	36,600	115,441	50,327
86 -Short	74,690	24,800	3,200	9,100	0
Wed. 87 Purchases	2,970,535	400,045	37,000	224,950	37,013
88 Sales-Total	2,970,535	372,370	52,900	273,765	53,607
89 -Short	71,605	26,000	7,400	16,600	0
Thurs. 10 Purchases	3,442,735	472,475	42,700	208,309	41,696
11 Sales-Total	3,442,735	506,170	60,900	192,963	62,984
12 -Short	107,810	35,810	6,200	27,200	0
Fri. 13 Purchases	3,586,970	564,070	67,300	267,627	46,234
14 Sales-Total	3,586,970	575,365	55,800	162,266	61,879
15 -Short	109,550	38,250	7,100	14,500	0
WEEK 16 Purchases	15,291,585	2,166,320	213,000	1,096,876	198,377
17 Sales-Total	15,291,585	2,190,865	237,200	846,385	280,275
18 -Short	438,670	154,070	29,600	77,800	0

<sup>1</sup> The term "members" includes all regular and associate Exchange members, and member firms, general, special and limited partners in member firms, and voting and non-voting stockholders in member corporations.

<sup>2</sup> On the American Stock Exchange, all odd-lot transactions are handled by specialists, and their round-lot purchases and sales for odd-lot account are not segregated from their other round-lot transactions. In odd-lot transactions, customers' purchases are specialists' sales and customers' sales are specialists' purchases.

NOTE: All sales totals include short sales.



THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE WEEK INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE, CURRENT OR COMPLETE.

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
APL CORP COM SCHWARTZ BERNARD L	2,500	03/28/73	BANISTER CONTL CORP COM ELMBRIDGE LTD	7,193	03/29/73
TOTAL.....	2,500		TOTAL.....	7,193	
AIRCO INC COM KLINGMAN VERNICE D	1,120	02/01/73	BANK NEW YORK INC COM NICHOLSON JOSEPH L	2,400	02/28/73
TOTAL.....	1,120		TOTAL.....	2,400	
ALASKA AIRLS INC COM KIRBY FRED M	4,999	03/28/73	BENTLEY LABS INC COM ENGQUIST DAVID S	3,500	03/27/73
KIRBY FRED M THIRD CUST BEN	1,094	03/28/73	TOTAL.....	3,500	
KIRBY JEFFERSON WALKER CUST BEN	1,094	03/28/73	BERGEN BRUNSWIG CORP CL A SELLERS RALPH M	500	04/02/73
KIRBY STARK DILLARD CUST BEN	1,094	03/28/73	TOTAL.....	500	
TRUST FBO HORTON ALICE KIRBY	1,094	03/28/73	BEST PRODS INC COM LAFFER SIONEY	90	03/30/73
TOTAL.....	9,375		LAFFER TOBY	25	03/30/73
ALICE CONS MINES INC COM MCKAY JACK E	40,261	04/03/73	TOTAL.....	75	
TOTAL.....	40,261		BEVERLY ENTERPRISES COM DUMONT DELBERT D	2,857	03/29/73
ALLIED TEL CO CL A JONES CHARLES M	1,000	04/06/73	GONZALEZ FREDERICK J	4,014	03/29/73
TOTAL.....	1,000		TOTAL.....	8,871	
AMERICAN EXPT INDS INC COM HISI CHARLES F	1,200	03/26/73	BIG THREE INDS INC COM TRUST FBO SMITH B K	1,000	03/26/73
TOTAL.....	1,200		TOTAL.....	1,000	
AMERICAN MICRO DEVICES INC CL A JOHNSTON D C	29,000	03/29/73	BIO MED SCIENCES INC COM HOCK BERNARD	1,500	03/27/73
TOTAL.....	29,000		TOTAL.....	1,500	
AMERICAN TRAINING SVCS INC COM LULLIO JAMES V LULLIO JAMES V	1,000 500	03/26/73 03/19/73	BITEX CORP COM LEVY DANIEL	6,000	03/30/73
TOTAL.....	1,500		TOTAL.....	6,000	
AMERICAS CORP COM LENNER MIKE	3,500	03/26/73	BLACK ANGUS SYS INC COM KIRSCHENBAUM JOSEPH M	1,000	04/04/73
TOTAL.....	3,500		TOTAL.....	1,000	
ANAREN MICROWAVE INC COM SPECIENS ESTHER	1,500	03/28/73	BROADWAY HALE STORES INC COM CARTER EDWARD M	3,000	03/29/73
TOTAL.....	1,500		TOTAL.....	3,000	
ANDERSEN 2000 INC COM LEWIS LEONARD J	1,389	03/27/73	BROWNING FERRIS INDS INC COM PROBISHER FUND N V	5,844	03/30/73
TOTAL.....	1,389		TOTAL.....	5,844	
APPLIED MATLS INC COM HOFF RICHARD E NAVA JOSEPH A	1,200 2,000	03/29/73 03/29/73	BUMBLEBERRY ENTERPRISES INC COM JOHNSON FRANK J	15,000	03/30/73
TOTAL.....	3,200		TOTAL.....	15,000	
ARGUS INC COM LUTZ MICHAEL	4,254	03/29/73	BUNNINGTON CORP COM NOGA EDWARD A	5,800	04/07/73
TOTAL.....	4,254		TOTAL.....	5,800	
ARLAN'S DEPT STORES INC COM COLIN JUSTIN S	15,400	03/27/73	C L FINL CORP COM WINDSOR LAND CO	400	03/26/73
TOTAL.....	15,400		TOTAL.....	400	
ARROW ELECTRS INC COM ODONNELL JOHN P	1,200	03/21/73	CPC INTL INC COM STONY BROOK SCHOOL	12,500	03/27/73
TOTAL.....	1,200		SWANSON DAVID R SWANSON DAVID R SWANSON DAVID R	9,774	03/27/73 03/27/73 03/27/73
ASTROPHYSICS RESH CORP COM REIFMAN BETSY	5,000	03/27/73	SWANSON G DANIEL SWANSON G DANIEL SWANSON G DANIEL	1,000	03/27/73 03/27/73 03/27/73
TOTAL.....	5,000		SWANSON JANET T SWANSON JANET T SWANSON JANET T	1,000	03/27/73 03/27/73 03/27/73
ATHLONE INDS INC COM JUMELS ALLAN	338	03/27/73	SWANSON JOHN P SWANSON JOHN P SWANSON JOHN P	9,774	03/27/73 03/27/73 03/27/73
TOTAL.....	338		SWANSON JOHN P JR CUST BEN SWANSON JOHN P JR CUST BEN SWANSON JOHN P JR CUST BEN	1,000	03/27/73 03/27/73 03/27/73
AUTOMATED MARKETING SYS INC COM HENDRICK GEORGE K JR	1,000	03/30/73	SWANSON KATHLEEN T CUST BEN SWANSON KATHLEEN T CUST BEN SWANSON KATHLEEN T CUST BEN	1,000	03/27/73 03/27/73 03/27/73
TOTAL.....	1,000		SWANSON ROBERT S JR	10,000	03/27/73
AUTOMATIC DATA PROCESSING INC COM BOLL WILLIAM L	600	03/23/73			
ODDO JOSEPH J	90	02/23/73			
UNITED JEWISH APPEAL OF ENGLEWOOD	5,000	03/28/73			
WEINER KENNETH E	2,002	03/21/73			

SWANSON ROBERT S JR COM SWANSON ROBERT S JR	03/27/73 03/27/73	BRENNAN FRANK X	3,900 03/03/73
TRUST UNK/LOUISE R SWANSON TRUSTEE TRUST UNK/LOUISE R SWANSON TRUSTEE TRUST UNK/LOUISE R SWANSON TRUSTEE	1,500 03/27/73 03/27/73 03/27/73	DAMON CORP COM RENZULLI FERNANF	TOTAL..... 3,900 22 03/05/73
CALIFORNIA MICROWAVE INC COM BARRY WARREN D	47,549 72 04/02/73	DANKER & WOHLK INC COM DANKER FREDERICK J	TOTAL..... 22 8,008 03/20/73
CMUBB CORP COM ESTATE OF MCELROY WILLIAM R	72 20,000 04/09/73	DATA CARD CORP COM COMPUTER MECHANISMS CORP	TOTAL..... 8,000 4,460 03/21/73
CLINICAL SCIENCES INC COM MARKOVITS ARTHUR I	20,000 10,000 03/29/73	DATUM INC COM TRUST FBO SMITH CATHERINE L	TOTAL..... 4,460 1,000 03/23/73
COCA COLA CO COM MCGORY JOHN J	10,000 500 03/14/73	TRUST FBO STRICHKO ERNEST DENTO MED INDS INC COM ALPERT IRA	TOTAL..... 2,000 3,250 03/30/73
COMBUSTION EQUIP ASSOC INC COM ELSTEIN MARTIN P	500 1,000 03/30/73	GIFTOS SARANDU	20,000 03/28/73
COMPREHENSIVE CMNTYS CORP COM NOLTING CARL W	1,000 2,335 03/24/73	DISTILLERS CORP SEAGRAMS LTD COM TABBAT BERNARD	TOTAL..... 23,250 1,000 03/28/73
COMPUTER DATABANKS COM MURPHY FREDERICK KELLS	2,335 2,500 03/27/73	DOCUTEL CORP COM FLINT CAPITAL CORP	TOTAL..... 1,000 4,000 03/28/73
COMPUTER DESIGN CORP COM MULLER IDA MARY	2,500 1,500 03/23/73	DREYFUS CORP COM EPSTEIN HARVEY IRA	TOTAL..... 4,000 2,900 03/30/73
COMPUTER PRODS INC COM WIPER JANE L	1,500 9,400 04/04/73	DUN & BROADSTREET INC COM YASEEN HELEN	TOTAL..... 2,900 8,000 03/22/73
COMPUTER SERVICENTERS INC COM WRIGHT ROBERT E	9,400 3,000 03/10/73	YASEEN LEONARD	8,000 03/22/73
COMPUTERVISION CORP COM POOLE ROGERIC I	3,000 1,000 03/26/73	DYNA FLEX CORP CL A KNUDSON E J JR	TOTAL..... 12,000 1,000 03/27/73
SIMES MYRON K	1,000 03/22/73	DYNAMIC CLASSICS LTD COM AMERICAN REVENUE CORP	TOTAL..... 1,000 7,500 04/01/73
COMPUTER MACHY CORP COM DUGGAN PAUL N	2,000 1,500 04/02/73	DYNAMICS CORP AMER COM SHAAK EMMA	TOTAL..... 7,500 5,666 03/28/73
LANDSDOWNE SPENCER	1,800 03/28/73	DYNARAD INC COM FOULKES MORACE A	TOTAL..... 5,666 5,300 01/22/73
ORANGE WILLIAM W	900 03/27/73	ECOLOGY INC COM SHELDON JOHN W	TOTAL..... 5,300 5,000 04/06/72
COMPUTER FACS LTD COM ROSENFELD ARNOLD	4,200 5,000 03/30/73	ENVIROMED CORP COM AXELPOD HERRERT R	TOTAL..... 5,000 16,380 03/29/73
CONCEPT INC COM GISS VERNON J	5,000 1,000 03/28/73	EQUITABLE PETE CORP COM MUIRHEAD RAY CARLTON	TOTAL..... 16,380 1,000 03/30/73
CONTINENTAL INFORMATION SYS CORP CALLAHAN JOHN DAVID JR CUST BEN	1,000 1,000 03/26/73	EQUITY FOG CORP AMER COM GOLDBLUM STANLEY	TOTAL..... 1,000 50,000 03/26/73
CALLAHAN MARY ELLEN CUST BEN	1,000 03/26/73	ESSEX INTL INC COM TRUST FBO MARTIN DENISE A	TOTAL..... 50,000 3,000 03/30/73
CALLAHAN THOMAS JAMES CUST BEN	1,000 03/26/73	EVANS ARISTOCRAT INDS INC COM KNEE SI E	TOTAL..... 3,000 1,000 03/27/73
COOPER LABS INC COM BOAB CO INVESTMENT FUND FOR EMPLOYEE BF	3,000 11,500 03/27/73	SEVERN RIVER CONSTRUCTION CO	2,472 03/05/73
CORROON & BLACK CORP COM WOODS JOHN P	11,500 1,000 03/30/73	FAPGO HNG CORP COM HULL CLAIR T	TOTAL..... 3,472 37,000 04/03/73
CRAMER ELECTRS INC COM INDUSTRIAL ELECTRONIC SALES CO	1,000 5,084 03/26/72		
CREATIVE LOGIC CORP COM	5,084		



FERRONICS INC COM KLONFAS ROBERT S	TOTAL.....	37,000	HALLMARK GROUP COS INC COM LARSEN ROBERT W	TOTAL.....	1,900 03/23/73
		6,000 03/15/73			1,900
FILTER DYNAMICS INTL INC COM FLEMING ROBERT & CO LTD	TOTAL.....	6,000	HEALTH ADVANCEMENT INC COM JUNKINS PHILIP D	TOTAL.....	3,000 04/02/73
		5,000 04/03/73			3,000
HALLGARTEN & CO		7,500 06/03/73	HECKS INC CL A	TOTAL.....	250 03/28/73
KAUFFMANN FRED H		650 04/02/73	UNITED JEWISH APPEAL INC		250
MUMFORD MILTON C		2,500 04/02/73	HERMETITE CORP COM AVRATIN SHELDOON I	TOTAL.....	3,800 03/29/73
PEYSER FREDERICK M JR		500 04/02/73			3,800
	TOTAL.....	16,150	HEUBLEIN INC COM TRUST FBO HAMM WILLIAM JR	TOTAL.....	500 03/20/73
FIRST NATL BANKSHARES FLA INC COM SOUTH FLORIDA COUNCIL INC		325 03/30/73	TRUST FBO HAMM WILLIAM JR		500 03/20/73
	TOTAL.....	325	TRUST FBO HAMM WILLIAM JR		500 03/20/73
FIRST PYRAMID LIFE INS CO AMER CL H THOMAS HERBERT L SR		5,000 03/28/73	TRUST FBO LANG ALBERT SCHEFFER		1,900 03/29/73
	TOTAL.....	5,000			3,800
FLORIDA PNG & MATLS CORP COM FIRST BAPTIST CHURCH OF BROOKSVILLE FLA		2,500 03/16/73	HEWLETT PACKARD CO COM JULIAN OLLIE MAE	TOTAL.....	30 03/30/73
	TOTAL.....	2,500	HIPSCHORN DON INC COM TREACHER HOWARD C & MYRTA B	TOTAL.....	5,000 03/26/73
FLYING DIAMOND CORP UTAH COM BRANSON D H & CLARA		600 03/28/73			5,000
	TOTAL.....	600	HOMESTEAD INTL INC COM FINE STANLEY H	TOTAL.....	1,000 04/15/73
FRANKLIN STORES CORP COM ROSS JERRY		13,622 03/28/73	HOM INDS INC COM HANSON SYLVIA H	TOTAL.....	1,000
	TOTAL.....	13,622	HOUSING SYS INC GA COM NIDEVER MARJORIE JORDAN	TOTAL.....	500 03/27/73
GSF PRODTNS INC COM SUN CAPITAL CORP		13,664 04/01/73	NIDEVER MARJORIE JORDAN		1,500 03/28/73
	TOTAL.....	13,664	HYDROPHILICS INTL INC COM EIG EDWARD	TOTAL.....	2,000
GTS CORP COM WALSH DANIEL H		10,000 04/04/73	IVAC CORP DEL COM PAR 80.10 DUFFY JANE BLACK	TOTAL.....	6,600 03/20/73
GENERAL AUTOMATION CORP DEL COM GROSS JOHN C		19,583 03/29/73			6,600
	TOTAL.....	19,583	IMOSCO INC COM SEWELL ELIZABETH L & HOMER B JT TEN	TOTAL.....	1,000 03/30/73
GENERAL CABLE CORP COM HUSTON EDWARD A		10,000 03/30/73	IMPERIAL LD INVY CO COM ZEIGLER GASTON M JR	TOTAL.....	1,600 04/02/73
	TOTAL.....	10,000	INDIANA NATL CORP COM TRUST F B O LEHMAN MARY P S	TOTAL.....	650 03/26/73
GENERAL DATACOMM INDS INC COM MILLARD MARK J		6,000 03/28/73	TRUST F B OBO SAMS TIMOTHY H		650 03/26/73
	TOTAL.....	6,000	TRUST FBO SAMS HOWARD W & DAVID W		100 03/28/73
GENERAL DEVICES INC COM RAYMOND THEODORE A		35,000 04/10/73	TRUST FBO SAMS HOWARD W & DAVID W		850 03/26/73
	TOTAL.....	35,000	TRUST FBO SAMS THOMAS H		650 03/26/73
GENERAL HOST CORP COM ONNEBANNE JAMES PHIL		2,838 03/30/73	INFORMATION MAGNETICS CORP COM STEELE FRED	TOTAL.....	3,500 03/28/73
	TOTAL.....	2,838	INTEL CORP COM LASHER ALFRED W JR	TOTAL.....	5,500
GENGE INDS INC COM WYMAN BAUTZER ROTHMAN & KUCHEL		800 03/21/73	INTERDATA INC COM FURMAN ARTHUR R	TOTAL.....	1,000 04/01/73
	TOTAL.....	800	INTERNATIONAL PLASTICS INC COM EOLIN ELEANOR F	TOTAL.....	700 03/29/73
GENUINE PARTS CO COM RICE LOUIS W JR		960 03/22/73			700
	TOTAL.....	960	INTERNATIONAL SCANNING DEVICES INC COM JASZEWSKI CASIMER J		3,311 03/29/73
GIANTY STORES CORP COM AMERICAN COMMITTEE FOR THE WEIZMANN INS		1,000 04/02/73			
	TOTAL.....	1,000			
GULF OIL CORP COM SCAIFE RICHARD MELLON		10,000 03/28/73			
	TOTAL.....	10,000			
HALLIBURTON CO COM MILLER JACK W		60 03/28/73			
		275 03/28/73			
MILLER JOHNSON KEITH GUST BEN		275 03/28/73			
MILLER MICHAEL CUST BEN		25 03/28/73			
MORIN THELMA E		635			
	TOTAL.....	635			

INTERSTATE RACING ASSN INC CL A GIACOMINI DONALD L	TOTAL.....	3,311	MICROBIOLOGICAL SCIENCES INC COM COMEN KENNETH S	TOTAL.....	625
		1,500 04/03/73			6,080 03/12/73
JUSTIN INDS INC COM RANDOLPH LENA BENNETT	TOTAL.....	1,500	MICRODATA CORP COM HODGE CHARLES J	TOTAL.....	6,080
		2,000 03/28/73			525 03/28/73
KANE SVCS INC COM JORDAN TED	TOTAL.....	2,000	MICROFORM DATA SYS INC COM BURKE COLEMAN	TOTAL.....	525
		2,000 03/30/73			2,000 03/28/73
KEYDATA CORP COM GILMORE JOHN T JR	TOTAL.....	2,800	FEIGENBAUM STAN A		1,875 03/29/73
		3,800 03/14/73		TOTAL.....	3,875
KIMBERLY CLARK CORP COM KIMBERLY JR & E E	TOTAL.....	3,000	MICROMATION SYS INC COM SCARDINO VINCE & CATHERINE		1,000 01/18/73
		3,408 04/02/73	SHICHMAN DAVID		18,000 03/29/73
KIN ARK CORP COM BOYLES M M	TOTAL.....	3,408		TOTAL.....	11,000
		15,000 03/23/73	MIDLAND GLASS INC COM ESTATE OF GOODSON MICHAEL		6,250 03/28/73
LA BARGE INC COM HUGHES JOSEPH E	TOTAL.....	15,000		TOTAL.....	6,250
		20,000 04/04/73	MOLECULAR ENERGY CORP CL A KRAVITZ AARON		2,850 03/29/73
LANCER HOMES INC TEX COM ANDREWS JIMMY N	TOTAL.....	20,000		TOTAL.....	2,850
		5,648 03/28/73	MOLEX INC COM HEALY EDWARD S & NANCY D		3,500 03/28/73
LEISURE TECHNOLOGY CORP COM BROWN RICHARD J	TOTAL.....	5,648	KREHBIEL FRED A		500 03/28/73
		28,600 03/29/73		TOTAL.....	4,000
LITTON INDS INC PART PREP COM ESTATE OF HAMPTON GEORGE C	TOTAL.....	28,600	MONTEREY LIFE SYS INC COM BENSON WILLIAM		600 03/27/73
HAMPTON EDITH T		1,608 04/02/73		TOTAL.....	600
		421 04/02/73	MOORE SAMUEL & CO COM ROGERS F JAMES		2,000 03/23/73
LOWES COS INC COM TODD HENRY E	TOTAL.....	2,529		TOTAL.....	2,000
		8,390 03/29/73	NATIONAL ENVIRONMENTAL CYLS COM CATES JOSEPH		10,000 04/02/73
MANPOWER INC COM AMERICAN JEWISH COMMITTEE	TOTAL.....	8,390		TOTAL.....	10,000
		1,175 03/26/73	NATIONAL INFORMATION SYS CORP COM HAGGERTY EDWARD G		10,000 03/28/73
MARION LABS INC COM GRINMAN GEORGE L	TOTAL.....	1,175		TOTAL.....	10,000
		200 03/30/73	NATIONAL LIVING CTAS INC COM HURLEY DANIEL K		3,000 04/03/73
MARK SYS INC COM MARCUS BERNARD P MARCUS BERNARD P	TOTAL.....	200		TOTAL.....	3,000
		5,000 04/02/73	NATIONAL MED CARE INC COM HALKASIAN HENRY A		1,000 03/23/73
		5,000 04/02/73	POLCARI CHARLES A		5,000 02/01/73
MARLENNAN CORP COM WHITE JOHN R	TOTAL.....	11,080		TOTAL.....	6,000
		990 03/27/73	NATONAS CO COM FOWLER J ROBERT		217 03/28/73
MEDENCO INC COM DANNER PAUL W	TOTAL.....	990		TOTAL.....	217
		1,182 03/27/73	OCEANOGRAPHY INTL CORP COM TURB JERRY		1,200 03/28/73
MEDICAL SCIENTIFIC INTL CORP COM METCALPE ROBERT & GLADYS	TOTAL.....	1,182		TOTAL.....	1,200
SCHNEDLER FERRIS W		600 02/28/73	OIL SHALE CORP COM BERNSTEIN RUSSELL		2,672 03/23/73
		500 03/28/73	HARVEY FUND INC		10,000 03/19/73
MERRILL LYNCH PIERCE FENNER & SMITH INC COM BRCHS PETER A	TOTAL.....	1,180		TOTAL.....	12,672
GREEN JOHN MARKHAM		1,500 03/27/73	OLD TUCSON DEV CO COM JANOFF EDWARD CUST BEN		110 03/29/73
MELCHIOR KENNETH E		1,050 03/28/73	JANOFF RONALD C & BARBARA J JT TEN		1,210 03/28/73
REGAN DONALD T JR		525 04/09/73	RONDE ALBERT W		500 03/29/73
ROSENAU DAVID L		200 03/27/73		TOTAL.....	1,820
SHEA ROBERT D		2,500 04/18/73	ORANGE BOWL CORP COM YOUNG HENRY		4,800 04/16/73
		4,500 03/23/73		TOTAL.....	4,800
MERVYN S COM JEWISH HOME FOR THE AGED	TOTAL.....	10,275	OSROW PRODS INC COM REVITZ PHIL		19,000 01/01/73
		625 03/28/73			

	TOTAL.....	19,000	CCM		
PAINÉ WEBBER JACKSON & CURTIS INC			LOCKHEED RETIR INCOME PLAN	17,393	03/30/73
COM					
BOTS WARREN F		4,000	04/03/73	NORTH AMERICAN ROCKWELL CORP	17,393 03/30/73
	TOTAL.....	4,000		WESTERN BANCORP RETIR PLAN FOREMPLOYEES	17,393 03/30/73
PAVELLE CORP				TOTAL.....	52,179
COM					
LAZARD BROTHERS & CO LTD		15,499	04/02/73	SAXON INDS INC	
	TOTAL.....	15,499		COM	
PENRIL DATA COMMUNICATIONS INC				MC MILLON PARLEY L	1,750 03/30/73
COM				TOTAL.....	1,750
KENNETH POSLOSKY		1,966	03/27/73	SEA WORLD INC	
	TOTAL.....	1,966		COM	
PERKIN ELMER CORP				SHEDO MILTON C	2,000 03/30/73
COM				TOTAL.....	2,000
MC DONELL HORACE G JR		5,500	04/02/73	SECURITY PAC CORP	
	TOTAL.....	5,500		COM	
PETRO SILVER INC				SEC PAC NATL BANK TR ACCT NO	18 03/29/73
COM				TOTAL.....	18
HARRIS HAROLD D		245,000	03/28/73	SILVER BELL INDS INC	
				COM	
WELLS LEWIS F		220,000	03/30/73	FIRST WISCONSIN NATL BK OF BROOKFIELD	41,666 03/22/73
	TOTAL.....	465,000		TOTAL.....	41,666
PETROL INDS INC				SMITH INTL INC	
COM				COM	
PROBST DAVID L CUST BEN		500	03/12/73	HUDACK JACK W & SANDRA L	350 03/26/73
				TOTAL.....	350
PROBST JEFFREY R CUST BEN		500	03/12/73	SOUTHLAND EQUITY CORP	
	TOTAL.....	1,000		COM	
PICKNICK INTL INC				BAGWELL JESSE G JR	3,000 04/09/73
COM				TOTAL.....	3,000
HEBREW HOME FOR AGED		100	03/27/73	STORY CHEM CORP	
	TOTAL.....	100		COM	
POTT INDS INC				RESEARCH CORPORATION	10,000 03/15/73
COM				TOTAL.....	10,000
KLIGHAM ROBERT J		4,000	03/30/73	STUTZ MTR CAR AMER INC	
	TOTAL.....	4,000		COM	
PRESIDENTIAL RLTY CORP				SIMON PAUL	5,000 03/28/73
CL B				TOTAL.....	5,000
SHAPIRO ROBERT E		2,500	03/28/73	SUBARU AMER INC	
	TOTAL.....	2,500		COM	
PULLMAN INC				LAMM HARVEY H	20,000 03/30/73
COM				TOTAL.....	12,500 04/01/73
ALOE JULIUS		7,000	03/27/73	STONE FRED	
	TOTAL.....	7,000		TOTAL.....	32,500
QUANTOR CORP				SYSTEMS CAP CORP	
COM				COM	
MARK SYSTEMS INC		2,000	03/29/72	KETELL HERBERT R JR	548 03/30/73
	TOTAL.....	2,000		TOTAL.....	548
RADIATION TECHNOLOGY INC				TALCOTT NATL CORP	
COM				COM	
WALLMAN IRWIN		10,000	04/01/73	SILVERMAN HERBERT R	30,000 03/29/73
	TOTAL.....	10,000		WACKMAN KENNETH B	2,085 03/29/73
RANSBURG CORP				TOTAL.....	32,085
COM				TANNETICS INC	
SOUTHEAST SOIC INC		6,900	03/26/73	COM	
	TOTAL.....	6,900		MILLER STEPHEN B	512 03/15/73
RAPIDATA INC				NICHOLS SUMNER H	2,562 03/15/73
COM				TOTAL.....	3,074
O'BRIEN R J		1,400	03/22/73	TAYLOR WINE INC	
				COM	
QUINN C RAE		2,000	03/09/73	TRUST FBO KEELER FLORA T	600 03/07/73
	TOTAL.....	3,400		TOTAL.....	600
RAYPAK INC				TECHMATION CORP	
COM				COM	
WHITTILL MARY K		700	03/29/73	MOSS RUTH	260 03/26/73
	TOTAL.....	700		TOTAL.....	260
RECRION CORP				TELCO MARKETING SVCS INC	
COM				COM	
FEDERATION OF JEWISH PHILANTHROPIES OF		4,016	03/27/73	BARTHOLOMEW G SAMUEL	900 03/20/73
	TOTAL.....	4,016		STROBELY STANLEY W	1,000 03/16/73
REPUBLIC CORP				TOTAL.....	1,900
COM				TELEPROMPTER CORP	
BOLD A J SR		61,194	03/31/73	COM	
				WRATHER J D JR	5,000 03/26/73
LARSEN CARTER		256	03/27/73	TOTAL.....	5,000
	TOTAL.....	61,450		TELESCIENCES INC	
REYNOLDS & REYNOLDS CO				COM	
CL A				BOEHM GUENTHER	10,000 03/29/73
GRANT RICHARD H JR		10,000	03/29/73	GROSS RICHARD	10,000 03/29/73
	TOTAL.....	10,000		KEELE LYNDON A	26,000 03/28/73
RICHMOND CORP				TOTAL.....	46,000
COM				TESORO PETE CORP	
ARMSTRONG CARL R		1,748	04/15/73	COM	
	TOTAL.....	1,748		UNITED MAY OF KING COUNTY	50 03/30/73
RIVIANA FOODS INC				TOTAL.....	50
COM					
RESNICK EULA		5,000	03/28/72		
	TOTAL.....	5,000			
RYDER SYS INC					

TEXAS INTL CO COM HARRON ROBERT R		5,000 03/29/73	TOTAL.....	12,500
	TOTAL.....	2,000		
TEXDAHL CORP COM DAHL ROBERT N		16,000 03/29/73		
	TOTAL.....	16,000		
TEKFI INDS INC COM PROCTOR CHARLES WESLEY		1,000 03/28/73		
	TOTAL.....	1,000		
TORO CO COM GIBSON ROBERT M		5,000 03/28/73		
	TOTAL.....	5,000		
TRANS AIR FIGHT SYS INC COM MOSKOWITZ PAUL J		1,915 03/29/73		
	TOTAL.....	1,915		
TREADWAY COS INC COM FINKEL BETTY T		900 03/20/73		
FINKEL SAMUEL		900 03/20/73		
	TOTAL.....	1,800		
UNITED COMPUTING CORP COM HAYES JAMES W		500 04/03/73		
RAWLINGS TERRY LEE		718 04/03/73		
TALHADGE JAMES		5,000 04/03/73		
UNIONAMERICA CAPITAL CORP		5,000 03/23/73		
WEENS JOSEPH BURCH FOURTH		410 04/03/73		
	TOTAL.....	11,628		
UNITED STATES SHOE CORP COM FREEDMAN JACK		5,000 03/30/73		
	TOTAL.....	5,000		
UNIVERSITY COMPUTING CO COM WALTER KARL F JR		621 / /		
	TOTAL.....	621		
VARISYSTEMS CORP COM BLOCK RUTH S		1,000 03/31/73		
	TOTAL.....	1,000		
VERSA TECHNOLOGIES INC COM LAMBERT DAVID R		500 03/30/73		
	TOTAL.....	500		
VISUAL GRAPHICS CORP COM FRIEDEL ROSE		12,400 02/08/73		
	TOTAL.....	12,400		
VISUAL SCIENCES INC COM UENCH FREDERICK		4,200 03/30/73		
	TOTAL.....	4,200		
WAHL ASSOC INC COM SHAPIRO SHELDOON		1,000 03/28/73		
	TOTAL.....	1,000		
WARREN GORHAM & LAMONT INC COM BITTKER ANNE S		500 03/30/73		
	TOTAL.....	500		
WASTE COMBUSTION CORP COM FLOWERS GEORGE H THIRD		200 03/26/73		
	TOTAL.....	200		
WITTER DEAN & CO INC COM NORTON CURTIS L JR		2,500 04/01/73		
WAGNER HENRY W JR		2,000 03/30/73		
	TOTAL.....	4,500		
WMETCO ENTERPRISES INC CL A KNISELY O GREGORY		5,000 03/27/73		
	TOTAL.....	5,000		
WORCESTER CTLS CORP COM WILLIAMSON LEWIS H		3,500 04/01/73		
	TOTAL.....	3,500		
WORTHINGTON BIOCHEMICAL CORP COM WORTHINGTON CHARLES C		12,500 04/01/73		

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**RECENT FORM 8-K FILINGS.** 1/ The companies listed below have filed current reports on Form 8-K for the period indicated, responding to the item numbers which are shown in parentheses. Amendments to reports previously filed are also listed, identifying the item or items amended. Photocopies of such reports and amendments may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report).

8K REPORTS FOR FEBRUARY 1973

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
AGC Industries, Inc.(7,14)	0-4468-2	3-19-73
ARI Industries, Inc.(13,14)	0-6536-2	3-12-73
Advanced Digital Systems, Inc. (13,14)	2-4357-2	3-22-73
Airwick Industries, Inc.(4,7,8)	1-5783-2	3-19-73
Alabama Dry Dock & Shipbuilding Co. (3)	0-2888-2	3-16-73
Alabama Gas Corp (7)	1-3740-2	3-13-73
Albertson's Inc. (13)	1-6187-2	3-15-73
Altair Corp (14)	0-698-2	3-13-73
American Beef Packers, Inc.(13)	0-4504-2	3-15-73
American Family Life Assurance Co. of Columbus (13)	2-42723-2	3-9-73
American Leisure Services, Inc. (2,14)	0-5102-2	3-13-73
Applied Magnetics Corp(3,11,13,14)	1-6635-2	3-12-73
Applied Materials, Inc.(7)	0-6920-2	3-8-73
Applied Synthetics Corp (2,3,6,9,11,13,14)	1-5678-2	3-16-73
Arlen Realty & Development Corp (2,7,14)	1-6675-2	3-19-73
Art's Way Mfg. Co., Inc.(2)	0-5131-2	3-16-73
Associated Hosts Inc.(11)	0-5366-2	3-23-73
Atlas Hotels, Inc.(11,13)	0-4327-2	3-12-73
Auric Corporation (11,14)	2-34557-2	3-28-73
Aztec Oil & Gas Co. (9,13,14)	1-6023-2	3-23-73
Bendix Corp (11,14)	1-952-2	3-12-73
Boeing Company (8)	1-442-2	3-19-73
Brush Wellman Inc. (7)	1-7006-2	3-12-73
Buildex Inc. (11)	1-6968-2	3-19-73
CBT Corp (7,14)	0-4944-	3-26-73
OFS Continental Inc.(4,7,8,11,14)	0-5987-2	3-19-73
Camptown Industries, Inc.(13,14)	0-5479-2	3-12-73

8K REPORTS FOR FEBRUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Capital Mortgage Investments(7,8)	0-4581-2	3-13-73
Cascade Natural Gas Corp (3)	0-317-2	3-12-73
Cascade Steel Rolling Mills Inc. (1,2)	0-3732-2	3-19-73
Castagna Electronics Corp (7,9)	2-29747-2	3-16-73
Cavitron Corp (3,7,11,13,14)	1-5575-2	3-12-73
Centree Corp (13,14)	0-4260-2	3-12-73
Certain-Teed Products Corp (3)	1-292-2	3-12-73
Chemical New York Corp (13)	1-5805-2	3-12-73
Chronetics Inc.(2,11,13)	0-3363-2	3-26-73
Cincinnati Financial Corp (2,8,11,14)	0-4604-2	3-12-73
Coca Cola Bottling Co. of New York, Inc.(7)	1-4348-2	3-22-73
Colonial Life & Accident Ins. Co. (1)	2-22199-2	3-26-73
Combustion Equipment Associates, Inc. (2,7,11,14)	1-6252-2	3-12-73
Comprehensive Computer Systems, Inc. (2,13,14)	2-30898-2	3-16-73
Consumers Power Co. (3)	1-5611-2	3-12-73
Corning Glass Works (11,14)	1-3247-2	3-9-73
Cotter & Company (7)	2-20910-2	3-12-73
Cover Girl of Miami Inc.(9)	0-4381-2	3-16-73
Cummins Engine Company (13,14)	1-4949-2	3-12-73
Cutty's Inc.(2,11)	2-35798-2	3-19-73
Cybermatics, Inc.(10,14)	0-3856-2	3-21-73
Cyclotron Corp (13)	0-2554-2	3-19-73
Daniel Woodhead Inc.(7)	0-5971-2	3-12-73
Danmont Corp (7,14)	0-6342-2	3-23-73
Datacraft Corp (13)	0-5140-2	3-21-73
Davis Food Service Inc.(13)	1-6809-2	3-16-73
Dero Industries Inc.(2,14)	1-5994-2	3-19-73
Digitek Corp (8)	0-3261-2	3-16-73
ECC Corporation (10)	0-5591-2	3-12-73
Eagle General Corp (2,11,14)	2-23989-2	3-8-73
Electrogasdynamics Inc.(2,14)	0-5406-2	3-23-73
Financiera Metropolitana S.A.(7)	2-29641-2	3-26-73
First National City Corp (7)	1-5738-2	3-8-73
Flah's Of Albany Inc.(2,14)	1-6916-2	3-19-73
Florida Commercial Banks, Inc.(13)	0-3562-2	3-16-73



## BK REPORTS FOR FEBRUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Fourth Financial Corp (3)	0-4170-2	3-12-73
Franklin Mint Corp (3)	1-5344-2	3-12-73
Franzia Brothers Winery (13)	0-6684-2	3-19-73
Frost & Sullivan Inc. (1,2,4,7,11,12,14)	0-6023-2	3-12-73
Furntec Industries, Inc.(3)	0-3137-2	3-19-73
Gay Gibson Inc. (7,8,14)	0-3379-2	3-28-73
General Bancshares Corp (13,14)	1-3750-2	3-20-73
General Host Corp (3,10)	1-1066-2	3-12-73
General Tire & Rubber Co. (7)	1-1520-2	3-12-73
Gould Investors Trust (11)	1-7139-2	3-19-73
Guaranty Corp (13,14)	0-1699-2	3-19-73
Howell Corp (2,7,14)	0-6409-2	3-19-73
ITI Corp (13)	1-3819-2	3-26-73
Independence National Corp (2,13,14)	0-4858-2	3-16-73
Information & Computing Centers Corp (1,7,11,12,13,14)	0-3878-2	3-19-73
Integrated Resources Inc.(13)	1-7030-2	3-12-73
Intercole Automation Inc.(10,14)	1-1319-2	3-12-73
International Dairy Queen, Inc. International Industries, Inc. (1,2)	1-5879-2	3-19-73
Joni Blair of California, Inc. (3,13)	2-45363-2	3-14-73
KMC Mortgage Investors (11)	2-42312-2	3-19-73
Kaibab Industries (3)	2-44492-2	3-12-73
Kallestad Laboratories, Inc.(7)	0-6639-2	3-9-73
Kingsford Company (11,14)	1-2105-2	3-7-73
Kirby Industries, Inc.(10)	1-4035-2	3-23-73
LSL Corporation (2)	0-6168-2	3-19-73
Lanchart Industries, Inc.(7,8)	2-40646-2	5-7-73
Liquidonics Industries Inc.(7,8)	0-2273-2	3-19-73
Manhattan Continental Development Corp (1)	0-4795-2	3-16-73
Mayflower Co. (2)	0-3218-2	3-5-73
Metro-Goldwyn-Mayer Inc.(13,14)	1-2500-2	3-22-73
Metropolitan Maintenance Co. (7,11,13,14)	2-42678-2	3-19-73
Micronetic Systems Inc.(7,14)	2-41348-2	3-19-73
Miller-Wohl Co. Inc.(2,6,14)	1-3256-2	3-19-73

8K REPORTS FOR FEBRUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Multivox Corp of America (7)	0-6491-2	3-16-73
National Alfalfa Dehydrating & Milling Co. (3)	1-3687-2	3-22-73
Nationwide Nursing Centers, Inc. (3,14)	0-4370-2	3-19-73
National Kinney Corp (7,14)	1-6856-2	3-27-73
Norlin Inds., Inc.(3)	1-6956-2	3-20-73
Novo Corp (3)	1-976-2	3-14-73
Nytronics, Inc.(13,14)	1-5617-2	3-26-73
OSR Corporation(2,3,13,14)	0-5367-2	3-19-73
Ocean Science & Engineering Inc. (13)	0-4611-2	3-20-73
Pacer Corp (9,14)	0-6097-2	3-19-73
Pennsylvania Co. (8)	1-4954-2	3-22-73
Philipsborn, Inc.(13)	0-6482-2	3-26-73
Pioneer Systems, Inc.(14)	1-4831-2	3-16-73
Post Coach Inc. (7)	2-44454-2	3-23-73
Products Research & Chemical Corp (2,11,14)	1-4852-2	3-19-73
Rahall Communications Corp (3,14)	0-5609-2	3-21-73
Real Estate Equities Corp (2,3,6,11,13,14)	0-3263-2	3-22-73
Recreation Leisure Land Corp (1,2,3,13)	0-6426-2	3-2-73
Rembrandt Enterprises, Inc.(11)	0-4199-2	3-9-73
Research Fuels, Inc.(12,14)	0-6346-2	3-12-73
Riverside Real Estate Invst Trust (2,14)	0-6320-2	3-16-73
Rotex Corp (13,14)	1-7142-2	3-19-73
Sambo's Restaurants, Inc.(13,14)	1-6362-2	3-16-73
Science Management Corp (13)	1-6059-2	3-5-73
Search Investments Corp (7,14)	0-4421-2	3-20-73
Servo Corp of America (7,8,13)	1-3925-2	3-13-73
Share Partners Ltd.-1972 (2,7,14)	2-43225-2	3-16-73
Smithfield Foods Inc.(2,7,14)	0-2258-2	3-5-73
Southeastern Inns Corp (13)	2-43443-2	3-19-73
Southern Securities Corp (1,2,3,6,11)	0-1840-2	3-7-73

8K REPORTS FOR FEBRUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Sovereign American Arts Corp (3)	1-6637-2	3-19-73
Stratford of Texas, Inc.(7,14)	0-5453-2	3-8-73
Stratton Group, Ltd. (13,14)	1-4733-2	3-28-73
Superscope, Inc.(13)	1-5307-2	3-12-73
TDA Inds., Inc.(2,3,11,14)	1-6974-2	3-9-73
T.I.M.E. DC Inc. (9)	0-1338-2	3-1-73
Tanger Industries (10)	1-6301-2	3-13-73
Teleflex Inc.(7,10,14)	1-5353-2	3-14-73
Tennessee Valley Bancorp, Inc.(7)	0-6368-2	3-12-73
Terramar Corp (7,14)	0-6698-2	3-13-73
Tesoro Petroleum Corp (7,14)	1-3473-2	3-7-73
Texdahl Corp (2)	2-30969-2	3-26-73
Thiokol Chemical Corp (3)	1-3523-2	3-22-73
Tia Maria, Inc.(13,14)	2-44450-2	3-13-73
Topper Corp (13)	1-6678-2	3-16-73
Topsy's International Inc.(2)	0-3324-2	3-21-73
Tower Industries, Inc.(13)	0-5311-2	3-16-73
Transairco, Inc.(3)	1-3461-2	3-13-73
UV Industries, Inc.(7,8)	1-5172-2	3-12-73
URS Systems Corp (10)	1-6067-2	3-19-73
Unicare Health Services Inc (2,6,14)	0-3988-2	3-12-73
Unishops, Inc.(6)	1-4814-2	3-22-73
United Leasing Inc.(7)	2-38064-2	3-19-73
United States Freight Co.(7,14)	1-402-2	3-19-73
U.S. Reduction Co. (3)	1-5328-2	3-13-73
Val D'Or Industries Inc.(9,14)	1-6923-2	3-28-73
Valley Forge Corp (3)	0-1874-2	3-12-73
Vesco Corp (11)	0-4299-2	3-9-73
Vicon Industries, Inc.(11)	2-34426-2	3-19-73
Weedon & Co. (11)	2-33492-2	3-8-73
Western Beef, Inc. (7)	0-4418-2	3-8-73
Zero Mfg. Co. (4,14)	1-5260-2	3-19-73

8K REPORTS FOR JANUARY 1973

Acme Precision Products, Inc.(11)	1-6135-2	2-13-73
Alloys Unlimited Inc.(11,14)	1-4479-2	3-19-73
American Bank Trust Shares, Inc. (13,14)	0-6786-2	3-16-73
American Family Life Assurance Co. of Columbus (7,8,13)	2-42723-2	2-13-73
Art's Way Mfg. Co., Inc.(13)	0-5131-2	3-16-73
Bank Computer Network Corp(13,14)	0-6766-2	3-22-73
Blake, Stephens & Kittredge, Inc. (13)	2-42293-2	2-14-73
Cinematic Industries, Inc.(13,14)	0-3903-2	3-20-73

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Compugraphic Corp (11)	1-6758-2	2-14-73
Dougherty Brothers Co. (7,14)	0-2842-2	3-26-73'
Galveston-Houston Co. (2,12)	0-420-2	3-9-73
Golden United Investment Co. (7,14)	0-4590-2	3-29-73
Hershberger Explorations, Inc. (2,7)	0-4413-2	2-20-73
Indianapolis Power & Light Co. (14)	1-3132-2	3-12-73
International Harvester Co. (7,13,14)	1-5236-2	2-14-73
Lane Bryant, Inc.(13,14)	1-598-2	2-20-73
Larabee Wire, Inc.(9,14)	1-6267-2	3-19-73
Liquidonics Industries, Inc.(2,14)	0-2273-2	3-5-73
Maine Sugar Industries, Inc. (6,13)	0-2426-2	3-19-73
Marhoefer Packing Co., Inc.(3)	1-5158-2	2-13-73
Maule Industries, Inc.(13)	1-4081-2	3-20-73
Mead Corp (3)	1-2267-2	3-12-73
Medalist Industries, Inc.(7,13)	1-6322-2	2-15-73
Medical Development Corp(7,8,9,10)	0-6558-2	3-6-73
Metric Resources Corp (14)	0-5824-2	2-6-73
Microwave Associates, Inc. (11,14)	1-4236-2	3-6-73
National Alfalfa Dehydrating & Milling Co. (10)	1-3687-2	3-22-73
J.J. Newberry Co. (7,8)	1-616-2	3-16-73
Niagara Mohawk Power Corp (13)	1-2987-2	2-14-73
North Pennsylvania RR Co. (11)	0-605-2	3-16-73
Ocean Science & Engineering Inc. (13)	0-4611-2	3-20-73
Offshore Logistics, Inc.(11,14)	0-5232-2	2-12-73
Oriole Homes Corp (3)	1-6963-2	3-19-73
Pacific Lighting Corp (13,14)	1-40-2	1-15-73
Petroleum Resources Fund, Inc. (13)	2-34665-2	3-16-73
Precision Instrument Co. (6,7)	0-96-2	2-16-73
Research Fuels, Inc.(13,14)	0-6346-2	2-12-73
Scotten Dillon Co.(11)	1-6178-2	3-28-73
Sealcraft Corp (2,7,14)	0-2910-2	3-28-73
Sprouse Reitz Co. Inc.(11)	0-1015-2	3-21-73
Tecor Inc (2)	2-30267-2	3-19-73
Tolchin Instruments Inc.(2,7,14)	1-5553-2	2-15-73
Utah International, Inc.(7,14)	1-5874-2	2-12-73
Vance, Sanders Co., Inc.(11,13,14)	0-229-2	3-8-73
Victory Markets Inc.(2,13,14)	0-1199-2	2-14-73

AMENDED 8K REPORTS

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Alaska Airlines, Inc. Dec 72 (7,14)	1-3314-2	3-2-73
American Medical International, Inc. Jan 73(2)	1-5714-2	3-13-73
Aminex Corp Dec 72(2)	0-6064-2	3-8-73
D.H. Baldwin Co. Dec 72(14)	1-1655-2	3-5-73
Bayly Corporation Nov 72(14)	0-6297-2	2-26-73
Bekland Resources Corp Oct 72(14)	0-5629-2	3-5-73
Burton-Hawks, Inc. Jan 73(14)	0-5781-2	3-16-73
CFS Continental Inc. Jan 73(7)	0-5987-2	2-21-73
Childrens World Inc. Feb 72(14)	0-5843-2	3-1-73
The Circle K Corp Jan 73(7)	1-5895-2	2-15-73
Diversey Corp Dec 72(14)	1-6333-2	2-10-73
Ellis Banking Corp Jan 73(13)	2-41857-2	2-22-73
Equity National Industries, Inc. Feb 73(2)	1-6447-2	3-16-73
Fabrics National, Inc. Jan 73(14)	1-5867-2	3-12-73
Financial General Bankshares, Inc. Jan 73(14)	1-3272-2	3-5-73
First Commerce Corp Jan 73(8)	0-5494-2	3-16-73
First Northwest Industries of America Jan 73(2,14)	0-3986-2	3-2-73
Gamble Skogmo Inc. Jan 73(8)	1-3478-2	3-9-73
General Binding Corp Jan 73(13)	0-2604-2	3-2-73
General Plywood Corp Jan 73(14)	1-7056-2	2-26-73
Getty Financial Corp Jun 72(1)	0-5372-2	3-5-73
Grant Advertising International Inc. Jun 72(14)	0-2463-2	3-2-73
Hi-Tech Industries, Inc. Nov 72(2, 7)	0-5716-2	2-26-73
Illinois Central Gulf RR Co. Feb 73(7,14)	1-2148-2	3-19-73
Intercontinental Electronics Corp Dec 72(7)	0-6508-2	3-1-73
Intermountain Gas Co. Jan 73(14)	0-1358-2	3-12-73
International Banknote Co., Inc. Feb 73(7,13)	1-3410-2	3-15-73
Jetlands Corp Dec 72(2,3,7,11,14)	0-6586-2	2-26-73
R.B. Jones Corp Oct 72(7)	0-6046-2	1-29-73
Kaiser Cement & Gypsum Corp Sep 72(14)	1-4598-2	3-13-73
Kustom Electronics, Inc. Jan 73 (4,14)	0-4325-2	3-5-73

AMENDED 8K REPORTS CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Lancer Pacific, Inc. Oct 72(14)	0-5920-2	3-5-73
Mann Mfg. Inc. Feb 73(3,11)	2-42919-2	3-15-73
The Mansfield Tire & Rubber Co. Dec 72(8)	1-3961-2	1-18-73
Marine International Corp Nov 72 (2,14)	2-39727-2	3-5-73
Meridian Investing & Development Corp Oct 72(7,14)	0-5981-2	1-18-73
Michigan Gas Utilities Co. Feb 73 (7)	1-4919-2	3-12-73
Natel Electronic Industries, Inc. Jan 73(13)	0-5290-2	3-13-73
The National Cash Register Co. Dec 72(10,14)	1-395-2	3-7-73
National Starch & Chemical Corp Corp Jan 73(14)	1-3582-2	2-28-73
Patent Management Inc. Sep 72(7)	0-4153-2	3-14-73
Peabody Galion Corp Feb 73(7)	1-3593-2	3-14-73
Pennsylvania Life Co. May 72(3)	0-3553-2	2-27-73
Process Systems Inc Sep 72(7)	0-6050-2	1-8-73
John Roberts, Inc. Jan 73(13,14)	1-6893-2	2-26-73
Rocky Mountain Industries, Inc. Dec 72(2,7,13,14)	0-6302-2	3-2-73
Oct 72(7)	0-6302-2	3-2-73
Safeguard Industries Inc. Dec 72(2)	1-5620-2	3-15-73
Scrivner-Boogaart Inc. Dec 72(14)	1-6826-2	2-23-73
Seacrest Industries Corp Jan 73(7)	0-2252-2	3-6-73
Security Bancorp, Inc. Oct 72(13)	0-6483-2	3-13-73
Henry I. Siegel Co., Inc. Feb 72(9)	1-4885-2	2-12-73
Southwest Realty & Development Co., Inc. Jul 72(1)	0-117-2	3-15-73
Whittaker Corp Aug 72(3)	1-5407-2	2-28-73
N.K. Winston Corp Jan 73(3)	0-1759-2	3-7-73
Work Wear Corp Jan 73(14)	1-4784-2	3-6-73



MARKET VALUE AND VOLUME OF SALES ON UNITED STATES SECURITIES EXCHANGES  
(ALL DATA ARE IN THOUSANDS)

	TOTAL MARKET VALUE	STOCKS		BONDS		WARRANTS		RIGHTS	
		\$VALUE	SHARES	\$MARKET	PRINCIPAL	\$VALUE	UNITS	\$VALUE	UNITS
1972 FEBRUARY	19,569,586	18,549,216	609,276	862,432	903,784	156,082	14,833	1,973	10,234
1972 MARCH	22,533,904	21,412,624	661,128	975,828	1,013,725	194,424	16,927	1,029	792
1972 APRIL	19,435,128	18,448,144	593,966	837,586	859,852	169,326	13,830	1,078	724
1972 MAY	17,989,920	17,093,200	506,609	775,979	807,230	117,666	10,999	3,091	14,226
1972 JUNE	17,658,358	16,740,458	505,494	799,201	840,740	126,770	11,685	1,949	8,905
1972 JULY	14,637,541	13,914,609	427,005	632,674	679,822	85,778	9,282	4,480	8,768
1972 AUGUST	18,419,872	17,596,015	524,595	723,487	775,829	99,191	10,300	1,182	3,918
1972 SEPTEMBER	12,783,353	12,192,759	366,792	525,258	580,918	70,374	7,948	4,962	10,911
1972 OCTOBER	15,541,873	14,809,930	461,370	676,383	747,693	74,992	8,618	569	2,811
1972 NOVEMBER	19,600,944	18,540,000	556,304	935,611	989,329	124,332	12,816	997	654
1972 DECEMBER	18,792,704	17,856,240	547,422	807,450	866,542	128,541	13,745	471	109
1973 JANUARY	19,913,024	18,926,416	565,387	841,208	952,195	144,884	15,495	510	4,447
1973 FEBRUARY	15,895,994	15,051,807	446,376	734,022	790,095	99,592	14,420	572	478

BREAKDOWN FOR FEBRUARY, 1973

* AMERICAN STOCK EXCHANGE	1,051,699	949,392	62,522	39,736	48,248	72,571	11,093	0	0
* BOSTON STOCK EXCHANGE	138,454	138,444	3,270	0	0	10	1	0	0
* CHICAGO BOARD OF TRADE	8,748	8,748	213	2	3	0	0	0	0
* CINCINNATI STOCK EXCHANGE	24,630	24,628	636	0	0	2	+	0	0
DETROIT STOCK EXCHANGE	560,198	659,604	17,599	24	42	24	4	546	99
MIDWEST STOCK EXCHANGE	2,946	2,933	878	5	7	8	5	0	0
* NATIONAL STOCK EXCHANGE	13,037,003	12,323,055	330,075	692,036	738,434	21,866	2,507	26	379
* NEW YORK STOCK EXCHANGE	578,953	572,643	18,368	2,077	3,214	4,233	691	0	0
PACIFIC COAST STOCK EXCHANGE	342,434	391,432	10,530	123	146	878	118	0	0
* PHILA.-BALT.-WASH. STOCK EXCHANGE	97	97	231	0	0	0	0	0	0
INTERMOUNTAIN STOCK EXCHANGE	834	934	2,056	0	0	0	0	0	0
SPOKANE STOCK EXCHANGE									

MARKET VALUE AND VOLUME OF SALES ON ALL EXEMPTED SECURITIES EXCHANGES  
(ALL DATA ARE IN THOUSANDS)

1973 FEBRUARY	167	167	28	+	+	0	0	0	0
BREAKDOWN FOR FEBRUARY, 1973									
HONOLULU STOCK EXCHANGE	167	167	28	+	+	0	0	0	0

N/A = NOT AVAILABLE

R = REVISION OF PREVIOUSLY PUBLISHED DATA

+ = GREATER THAN ZERO BUT LESS THAN 500

SEE EXPLANATORY NOTES ON PAGE 12



## EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933

(Amounts in Millions of Dollars)

	1972	1972		1973	
		January	February	January	February
EFFECTIVE REGISTRATIONS . . . .	3,578	214	230	252	186
TOTAL DOLLAR AMOUNT . . . . .	63,937	3,995	5,720	4,560	3,805

	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount
PRIMARY CORPORATE OFFERINGS	1,779	28,555	124	2,035	117	2,721	108	2,015	88	1,543
Bonds, notes and debentures . . . .	417	16,959	40	1,402	38	1,764	27	982	21	589
Preferred stock . . . . .	83	2,416	1	10	8	192	6	129	3	136
Common stock . . . . .	1,020	6,795	73	445	60	634	63	748	49	667
Unseasoned . . . . .	633	1,690	49	100	38	121	43	294	34	436
Seasoned . . . . .	387	5,105	24	345	22	513	20	454	15	231
Other Equity Securities . . . . .	259	2,385	10	178	11	131	12	156	15	151
SECONDARY OFFERINGS	1,120	7,325	59	215	52	208	62	363	34	85
Cash sale . . . . .	627	5,089	32	133	28	150	24	217	11	24
Other than cash sale . . . . .	493	2,236	27	82	24	58	38	146	23	60

	Amount			
FOR EXTENDED CASH SALE AND OTHER ISSUES . . . . .	19,927	1,113	2,170	1,312
Investment company issues . . . . .	13,354	739	2,004	874
Employee savings and thrift plans . . . . .	2,413	201	44	30
Employee stock option plans . . . . .	3,649	171	118	209
Other employee plans . . . . .	511	4	5	187
Dividend Reinvestment Plan . . . . .	---	---	---	12
FOREIGN GOVERNMENT . . . . .	683	0	100	20
OTHER NON CASH ISSUES . . . . .	7,447	631	521	849
Exchange transactions . . . . .	1,423	196	126	645
Reserved for conversion . . . . .	2,415	174	109	85
Warrants and options . . . . .	1,392	153	256	16
Other . . . . .	2,218	109	30	103

<sup>1/</sup> The amounts covered in this table differ from totals for corporate securities offerings under the heading "Registered under the 1933 Act" shown in a preceding table chiefly because of differences in timing between effective registration dates and offering dates.

SEC 483A (7-73)

See Explanatory Notes, page 21.

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